



insidertrack 

Simplify your insider governance, enhance communications and ensure compliance with Market Abuse Regulations

Managing your project and insider lists and making sure that you comply with regulations can be hard work and time consuming. Communicating changes to list members, collecting and maintaining relevant personal information and supporting a consent to deal process is made much simpler using Insidertrack.

Insidertrack helps you to manage all of your insider and confidential lists, to help you deliver good governance practice as well as comply with EU Market Abuse Regulations (MAR) and the Financial Conduct Authority's Disclosure, Guidance and Transparency Rules.

We have developed Insidertrack, our web-based automated software, with significant on-going support from key companies to ensure it delivers the benefits you really need. Insidertrack is the most efficient way to manage lists of people working for you and relevant third parties with access to your inside information.

As Insidertrack is simple and intuitive, entering information takes less of your time. Its intelligent management features help you to manage your governance duties, whilst dramatically reducing the risk of oversights or mistakes.

Communicating with your insiders becomes simpler too, whether you are targeting individuals, groups or the full list. You can set Insidertrack to automatically prompt them to respond. Additionally, Insidertrack generates a full audit trail, so you can track the activities of system users and insiders.

Clients who have received information requests from the regulator have been delighted that they can **respond quickly and efficiently** with accurate information, in the correct format, direct from Insidertrack's reporting suite.

Like all Cytec products, Insidertrack is reviewed and improved continually, plus we share our updates with you as part of our commitment to providing a helpful, proactive service. We also offer Insidertrack for Advisors, which is currently being used by securities brokers and investment banks for managing multiple lists, wall crossing and market sounding activity.

Clients who receive information requests from the regulator can respond quickly and accurately

Book an Insidertrack demo and you will see why it is the market leader

Essential protection for you and your insiders



On-demand reporting provides useful management information and enables you to respond quickly to any information requests using the regulator's own template



Insidertrack is flexible. We will tailor the software to your specific requirements, and our ongoing support will ensure you always benefit from the latest features and refinements



Intuitive design, makes it easy to create and maintain insider lists and multiple projects lists



Publishing project lists is straight forward, with automated notification of leavers and joiners, and built-in controls to update project status



Insidertrack integrates with external systems, saving you the time and potential risk associated with transferring data



Intelligent features simplify managing the consent to deal process, with options for paper communications or access via the Insidertrack portal



Insidertrack records and communicates closed and restricted periods, reducing the risk of unauthorised activity



Full, detailed audit trails of user actions and changes to the insider list help demonstrate compliance



Insidertrack is highly secure, with robust access controls and user permissions, and data stored on our secure servers



Users can access Insidertrack online from their desktops or tablets, whenever it is convenient, 24/7



Communications management is hassle-free, and generates copies of all emails and acknowledgements



You can configure the email templates to suit your specific communications



Insidertrack automatically chases outstanding acknowledgements

To help you meet your MAR obligations, we offer an insiders' online training module

Our optional Insidertrack portal provides direct access for your insider population, making communicating even more effective and convenient.

Mobile responsive, working on all devices

Ability to complete allocated tasks including:

- ◇ Completion of the insider education and testing module
- ◇ Review, update and maintenance of personal information
- ◇ Acknowledgement of communications
- ◇ Submission of post trade notifications

Provides individuals access to project membership lists for their own projects

Details of closed and restricted periods

Access to training and reference material

More efficient handling of consent to deal and approval, with full history of consent to deal requests



Provide valuable protection for your insiders and be confident about meeting your MAR obligations with the Insidertrack training module

We have developed a unique training module to support our clients and ensure that insiders understand and accept their responsibilities.

The module has two parts:

1

A short video explaining the requirements of MAR for insiders.

It covers:

- ◇ what inside information is
- ◇ your obligations of being an insider
- ◇ the penalties if you break the rules or misuse inside information

Created in partnership with City law firm Addleshaw Goddard LLP, the video can be topped and tailed with your key messages, endorsements and additional information.

2

A multiple choice test, designed to inform insiders about MAR and check they understand its implications for them.

The test allows you to:

- ◇ schedule when it is taken
- ◇ set the number of questions and the pass mark
- ◇ tailor questions to your own circumstances

Standard functionality includes chasing of outstanding tests and re-testing for those not achieving the required standard.

To see the Insider training module in action, contact us on +44 (0) 20 7001 0600 or email enquiries@cytecsolutions.com

